



Alternatives

A series of thick, purple, wavy lines that curve upwards from the bottom left towards the top right, creating a sense of movement and depth.

# Application Process Guide

Puma VCT 13



## Application

Advisers can access the online application form via the following dedicated link: [Puma VCT 13 plc - Application](#)

The form can be pre-populated by the Adviser before being sent to the client for completion. Once the client has completed the application, an application summary will be generated, along with the payment details and the bespoke payment reference.



## Verification

Once the Investor submits the application form, AML checks are carried out, and any missing information will be requested by email from the Adviser.



## Payment

Payment details are issued at the end of the online application process with a bespoke payment reference number to be used when making payment.

Once the application funds have been received, confirmation is sent to both the Adviser and the investor. If no information is outstanding, Puma will then email the Adviser to confirm that the funds are ready to be allotted.



## Allotment

VCT allotments generally occur every 4–8 weeks, depending on fundraising activity throughout the year.

Following the allotment of funds, a confirmation letter is issued to both the Adviser and the Investor. The tax certificate, along with the share certificate (where the Investor has chosen to hold shares in certificated form), will be posted to the Investor and uploaded to the portal within 10 working days.



## Portal

Puma will issue portal registration details to the Adviser, and to the Investor where electronic correspondence has been selected.

The portal provides a centralised location where both Advisers and Investors can view full investment details and access all related documentation, including transaction reports and valuation statements.



### Get in Touch

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