



## DETERMINING WHETHER AN EIS INVESTMENT WITH DEEPBRIDGE MAY BE SUITABLE FOR YOUR CLIENT

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Below is a checklist of prompts, which can be used to determine whether your client may be a suitable individual to consider an EIS investment.

### RISK APPETITE

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- ☒ Client has financial security independent of any investment made.
- ☒ Client is seeking an attractive, medium term investment opportunity.
- ☒ Client is aware that their investments are illiquid and will be comprised of shares in small unquoted companies, which carry a high degree of risk.
- ☒ Client is aware they are unlikely to have access to their capital for at least a minimum of 3 years.
- ☒ Client has an appetite for supporting UK growth-focused companies.

### TAX RELATED OPPORTUNITIES

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- ☒ Client is a UK tax paying resident.
- ☒ Client has a large income tax liability in the preceding and/or current tax year.
- ☒ Client has a large capital gain to defer.
- ☒ Client will benefit from IHT relief, providing they hold the assets for a minimum of 2 years and still hold the investment on death.
- ☒ Client has reached their lifetime/ annual pension allowance and wants to invest in a tax efficient product.

**RISK WARNING:** These investments may not be suitable for all investors. Investors should be aware that investments in small unquoted companies carry a high level of risk. These investments are highly illiquid and as such, there may not be a readily available market to sell such an investment. Tax reliefs are dependent on personal circumstances, and are subject to change in the future. The availability of tax reliefs depends on companies invested in maintaining their qualifying status. The value of an investment may go down as well as up, and investors may lose all funds invested. Past performance is not a reliable indicator of future performance. Any decision to invest should be made only on the basis of the relevant documentation for each investment. This document is only intended to provide an overview and, no reliance should be placed upon the content. Nothing in this document shall be regarded as constituting investment, taxation, legal or other advice and prospective subscribers should seek advice from a suitably qualified independent adviser before deciding whether or not to make an investment. This document is a financial promotion pursuant to section 21 of the Financial Services and Markets Act 2000 (the Act), relating to the communication of invitations or inducements to engage in investment. This document has been approved by Deepbridge Capital LLP (FRN: 563399), which is authorised and regulated by the Financial Conduct Authority.